

TRANSMITTAL

Electronic Version v1.1

Stylesheet Version v1.1.0

| Title of Invention | PROCESS TO THE AUDIT THE PERFORMANCE OF INVESTMENT PORTFOLIOS | | | | | | | |
|---|---|----------------|---------------|-------------|----------------|--|--------------------|----------|
| <p>Application Number :</p> <p>Date :</p> <p>First Named Applicant: Mr. James Allen Charnley Jr.</p> <p>Confirmation Number:</p> <p>Attorney Docket Number: 3017</p> | | | | | | | | |
| <p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I , the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p> | | | | | | | | |
| <table border="1"><thead><tr><th data-bbox="131 1010 773 1050">Submitted By:</th><th data-bbox="773 1010 1110 1050">Elec. Sign.</th><th data-bbox="1110 1010 1412 1050">Sign. Capacity</th></tr></thead><tbody><tr><td data-bbox="131 1050 773 1125">Mr. David Robert Josephs Registered Number: 34632</td><td data-bbox="773 1050 1110 1125">/david r. josephs/</td><td data-bbox="1110 1050 1412 1125">Attorney</td></tr></tbody></table> | | | Submitted By: | Elec. Sign. | Sign. Capacity | Mr. David Robert Josephs Registered Number: 34632 | /david r. josephs/ | Attorney |
| Submitted By: | Elec. Sign. | Sign. Capacity | | | | | | |
| Mr. David Robert Josephs Registered Number: 34632 | /david r. josephs/ | Attorney | | | | | | |

| Documents being submitted: | Files |
|----------------------------|--|
| us-fee-sheet | 900-usfees.xml us-fee-sheet.xsl us-fee-sheet.dtd |
| us-request | 900-usrequ.xml us-request.dtd us-request.xsl |
| application-body | 900-trans.xml us-application-body.xsl application-body.dtd wipo.ent mathml2.dtd mathml2-qname-1.mod isoamsa.ent isoamsb.ent isoamsc.ent isoamsn.ent isoamso.ent isoamsr.ent isogr3.ent isomfrk.ent isomopf.ent isomscr.ent isotech.ent isobox.ent isocyr1.ent isocyr2.ent isodia.ent isolat1.ent isolat2.ent isonum.ent isopub.ent mmlextra.ent mmlalias.ent soextblx.dtd chart.tif dwg01.tif dwg02.tif dwg03.tif dwg04.tif dwg05.tif dwg06.tif dwg07.tif dwg08.tif dwg09.tif dwg10.tif dwg11.tif dwg12.tif dwg13.tif |
| us-declaration | dec1.tif |

| | |
|-----------------|----------|
| us-declaration | dec2.tif |
| Comments | |
| | |